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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

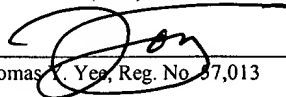
Applicant : James E. Malackowski et al.
Appl. No. : 09/814,547
Filed : March 22, 2001
For : SYSTEM FOR AND METHOD
OF RISK MINIMIZATION AND
ENHANCED RETURNS IN AN
INTELLECTUAL CAPITAL
BASED VENTURE
INVESTMENT

Examiner : D. Kesack
Group Art Unit : 3624

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 27, 2006
(Date)


Thomas X. Yee, Reg. No. 37,013

REPLY TO OFFICE ACTION
DATED NOVEMBER 30, 2005

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action of November 30, 2005, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.